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PATENT TRADEMARK OFFICE

Patent  
Case No.: 58374US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: MEIS, MICHAEL A.

Application No.:

Group Art Unit: Unknown

Filed: October 16, 2003

Examiner: Unknown

Title: MULTI-LAYER OPTICAL CIRCUIT AND METHOD FOR MAKING

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**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 25, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

10/16/03  
\_\_\_\_\_  
Date

By:

  
\_\_\_\_\_  
Gregg H. Rosenblatt, Reg. No.: 45,056  
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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets if necessary)  Page 1 of 3	<b>Application Number</b>	
	<b>Filing Date</b>	<b>October 16, 2003</b>
	<b>First Named Inventor</b>	<b>Michael A. Meis</b>
	<b>Art Unit</b>	<b>Unknown</b>
	<b>Examiner Name</b>	<b>Unknown</b>
	<b>Attorney Case Number</b>	<b>58374US002</b>

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
	A1	US- 4,005,312	01-25-1977	Lemelson	
	A2	US- 4,138,193	02-06-1979	Olszewski et al	
	A3	US- 4,307,386	12-22-1981	Bridge	
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	A21	US- 5,259,051	11-02-1993	Burack et al	
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	A28	US- 5,416,870	05-16-1995	Chun et al	

<b>*Examiner:</b>	<b>Date Considered:</b>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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	<b>Art Unit</b>	Unknown
	<b>Examiner Name</b>	Unknown
	<b>Attorney Case Number</b>	58374US002

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		Number-Kind Code (if known)			
	A29	US- 5,449,540	09-12-1995	Calhoun et al	
	A30	US- 5,513,293	04-30-1996	Holland et al	
	A31	US- 5,521,992	05-28-1996	Chun et al	
	A32	US- 5,582,673	12-10-1996	Burack et al	
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Foreign Patent Documents						
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		Ctry. Code	Number-KindCode (If known)			
	B1	EP	0 587 336 A2	03-16-1994		
	B2	EP	0 816 882 A3	06-16-1999		
	B3	FR	2 574 562	06-13-1986		
	B4	JP	HEI 8-151755	06-11-1996		X
	B5					

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	M.A. SHAHID et al; "Flexible Optical Backplane Interconnections", <i>IEEE Proceedings of MPPOI '96</i> (1996); 0-8186-7591-8/96; pp. 178-185
	C2	
	C3	

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